## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2019

This Form is Open to **Public Inspection** 

Part I Annual Report Identification Information										
For calend	ar plan year 2019 or fisc	al plan year beginning		and ending						
A This ret	turn/report is for:		ilers checking this box must attach a ordance with the form instructions.)							
		a one-participant plan	a foreign plan							
<b>B</b> This retu	urn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year retu							
C Check	box if filing under:	Form 5558	automatic extension		DFVC p	orogram				
		special extension (enter descr								
Part II	Part II Basic Plan Information—enter all requested information									
1a Name					<b>1b</b> Thre	e-digit				
ra Name	oi pian			number						
					1c Effective date of plan					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						2b Employer Identification Number (EIN)				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)					2c Sponsor's telephone number					
						2d Business code (see instructions)				
3a Plan a	dministrator's name and	l address Same as Plan Spor	nsor.		<b>3b</b> Administrator's EIN					
					<b>3c</b> Administrator's telephone number					
					7 tarrimetrator e telepriorie maniber					
						41				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN					
a Sponsor's name						4d PN				
C Plan Name										
5a Total number of participants at the beginning of the plan year					5a					
<b>b</b> Total number of participants at the end of the plan year					5b					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c					
d(1) Total number of active participants at the beginning of the plan year										
d(2) Total number of active participants at the end of the plan year					5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested										
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN										
HERE	Signature of plan ad	ministrator	Date	Enter name of individ	f individual signing as plan administrator					
SIGN										
HERE	Signature of employe	er/plan sponsor	Date	Enter name of individ	idual signing as employer or plan sponsor					

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						Yes No	
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						☐ Yes ☐ No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						□ тез □ то	
С								
							(See instructions.)	
Pa	rt III   Financial Information							
7	7 Plan Assets and Liabilities (a) Beginning						(b) End	of Year
a	Total plan assets	7a	( <i>a</i> ) = 0 <b>33</b>				()	
	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7c						
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) 1	Total
а	Contributions received or receivable from:							
	(1) Employers	8a(1)						
	(2) Participants	8a(2)						
	(3) Others (including rollovers)	8a(3)						
	Other income (loss)	8b						
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						
	to provide benefits)	8d						
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)							
g	g Other expenses							
h	h Total expenses (add lines 8d, 8e, 8f, and 8g)							
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						
j	Transfers to (from) the plan (see instructions)							
Pa	Part IV Plan Characteristics							
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:							
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:							
Do	4 V Compliance Questions							
10	Part V   Compliance Questions							
10	During the plan year:  Was there a failure to transmit to the plan any participant contribu	ıtions with	in the time period		Yes	No		Amount
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10-				
	Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10a				
	reported on line 10a.)			10b				
	, , ,			10c				
d	<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d				
е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under							
	the plan? (See instructions.)			10e				
f	Has the plan failed to provide any benefit when due under the plan?			10f				
	<b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)							
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i				

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Part	VI Pension Funding Compliance								
11								Yes	No
а	Enter the unpaid minimum required contributions for al				11a				
	<ul> <li>b PBGC missed contribution reporting requirements. If the plan is covered by PBGC and the amount reported on line 11a is greater than \$0, has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:</li> <li>Yes.</li> <li>No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.</li> <li>No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.</li> <li>No. Other. Provide explanation</li> </ul>								
12	ERISA?								
а	12 blank and complete line 11 above.  If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							ng	
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b	Enter the minimum required contribution for this plan y	ear			12b				
С	Enter the amount contributed by the employer to the plan for this plan year				12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				12d				
<u>e</u>	Will the minimum funding amount reported on line 12d	be met by the funding deadline	?			Yes	No		I/A
Part	VII Plan Terminations and Transfers of A	Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?					Yes	3 🗍	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year								
b	<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes No				)
С									
1	3c(1) Name of plan(s):			13c(2)	EIN(s)		130	( <b>3)</b> PN	(s)