From: Thomas Eisenzimmer [mailto:thomas@eisenzimmerfinancial.com]
Sent: Saturday, September 19, 2015 8:56 AM
To: EBSA, E-ORI - EBSA
Subject: Potential DOL changes

I am a registered rep with ProEquities, holding a series 7 and 24 for over 30 years. During that time I have assisted many families. My primary focus is primary school educators and associated support staff.

My question for you. IF I am to be held to higher standard, how am I to bill the customer this week who was referred to me to learn about investments and wants to invest $ 1,000 and perhaps $ 50/month or the small business owner who is just starting a Simple IRA where participants will deposit modest sums each month.
While I am an IAR with my firm I think you are missing the boat in basically pushing my service out of the realm of the small investors who have been a prime client of mine over many years. Interesting, that these small clients over time have blossomed and become at least for me not only part of our family but their asset base has grown given time.

Am I missing something here?

Thomas Eisenzimmer
513 984 9111