

PUBLIC SUBMISSION

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Docket: EBSA-2023-0014
Definition of an Investment Advice Fiduciary

Comment On: EBSA-2023-0014-0001
Retirement Security Rule: Definition of an Investment Advice Fiduciary

Document: 1210-AC02 comment 00300 Hefner 01022024

Submitter Information

Name: Stanley Hefner

General Comment

The Honorable Lisa M. Gomez
Assistant Secretary of Labor
Employee Benefits Security Administration
U. S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

RE: RIN 1210-AC02

Dear Honorable Gomez,

The new U.S. Department of Labor (DOL) proposed fiduciary rule will threaten my ability as a financial professional to serve the many lower and middle-income Main Street families (many of whom are in the educational field) who relied on me for over 30 years.

Please withdraw the proposed final regulation and proposed amendments so that we can continue helping those who need it most.

Sincerely,

Stanley Hefner
West Virginia