

PUBLIC SUBMISSION

Received: November 09, 2023 Tracking No. lor-ncf3-zptn Comments Due: January 02, 2024 Submission Type: API

Docket: EBSA-2023-0017

Proposed Amendment to Prohibited Transaction Exemptions 75-1, 77-4, 80-83, 83-1, and 86-128

Comment On: EBSA-2023-0017-0001

Proposed Amendment to Prohibited Transaction Exemptions 75-1, 77-4, 80-83, 83-1, and 86-128

Document: 1210-ZA34 comment 00018 James 11092023

Submitter Information

Name: Peter James

General Comment

This is greatly needed to curtail improper actions from Independent Financial Advisors since the roll-back of the DOL Fiduciary Rule.